



THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : Tari et al. Group : 2164
Serial No. : 10/014,864 Examiner : Not Yet Assigned
Filed : December 11, 2001
Title : Method and System for Requesting Prices for Electronic Trading of Financial Instruments

CERTIFICATE OF MAILING UNDER
37 CFR § 1.10

Express Mail No.: EL 912 238 327 US

Date of Deposit: August 23, 2002

I hereby certify that this paper and all enclosures referred to therein are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR § 1.10 on the date indicated above with sufficient postage in an envelope addressed to the US Patent and Trademark Office, P.O. Box 2327, Arlington, VA 22202.


Signature of Person Mailing

Assistant Commissioner for Patents
Washington, DC 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS
OF APPLICATION UNDER 37 C.F.R. 1.53(b)

Dear Sir:

Pursuant to the Notice to File Missing Parts of Application mailed on January 23, 2002 in connection with the above-identified application, Applicants, Angelo Toglia, Neil Chriss and Jeffrey Larsen, submit herewith the following documents:

- ☒ Declaration and Power of Attorney executed by the three above-mentioned inventors;
- ☒ A copy of the Notice to File Missing Parts of Application;
- ☒ The fee required (below); and
- ☒ Petition for Extension of Time (below).

Additionally, Applicants Toglia, Chriss and Larsen submit the following documents:

- ☒ Petition Under 37 C.F.R. 1.47(a);
- ☒ Declaration in Support of Petition Under 37 C.F.R. 1.47(a) of Jeffrey Larsen, including Exhibits A-D, employment agreements of four inventors: Mr. Michael Tari, Mr. Christopher Dias, Mr. Darius Gagne, and Mr. Mitch Handa; and
- ☒ Declaration in Support of Petition Under 37 C.F.R. 1.47(a) of Susan Hinko, including Exhibits A-G, various documents indicating communication with inventors Tari, Dias, Gagne and Handa.

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Please charge the oath or declaration surcharge in the amount of \$ 130.00 required under 37 C.F.R. §1.16(e) to Skadden, Arps, Slate, Meagher & Flom LLP Deposit Account No. 19-2385. A duplicate of this sheet is enclosed.

☒ PETITION FOR EXTENSION OF TIME UNDER 37 CFR 1.136(a):

The Notice indicated that the Declaration and fee were due on or before **March 23, 2002**. A **five (5)** month extension of time is respectfully requested. The fee for this extension is estimated to be **\$1,960.00**.

Please charge the required fee for this extension to Skadden, Arps, Slate, Meagher & Flom LLP Deposit Account No. 19-2385. (Please reference Attorney Docket No. **ICOR-004**). A duplicate of this sheet is enclosed.

☒ The Commissioner is hereby authorized to charge payment of any additional fees required in connection with this Notice including fees for any extensions of time, and credit any overpayment to Skadden, Arps, Slate, Meagher & Flom LLP Deposit Account No. 19-2385. (Please reference Attorney Docket No. **ICOR-004**). A duplicate of this sheet is enclosed.

Date: August 23, 2002

Respectfully submitted,

By: 

Andrew F. Strobert

Reg. No. 35,375

Skadden, Arps, Slate, Meagher
& Flom LLP
Four Times Square
New York, New York 10036
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Enclosures



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|--------------------|---------------------|-----------------------|------------------------|
| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
| 10/014,864 | 12/11/2001 | Michael J. Tari | ICOR-004 |

26137
PATENT DEPARTMENT
SKADDEN, ARPS, SLATE, MEAGHER & FLOM LLP
FOUR TIMES SQUARE
NEW YORK, NY 10036

CONFIRMATION NO. 3644

FORMALITIES LETTER



OC000000007348115

Date Mailed: 01/23/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

*A copy of this notice **MUST** be returned with the reply.*

*MDuong*Customer Service Center
Initial Patent Examination Division (703) 308-1202
PART 2 - COPY TO BE RETURNED WITH RESPONSE

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